## APPENDICES

## Appendix 1 Evaluation Index System for the Implementation of Smoke-Free Regulations

First-level indicators	Second-level indicators	Third-level indicators	Evaluation standard
	1.1 Nature of r	egulations: $\textcircled{1}$ local regulations; $\textcircled{2}$ government regulations	① get 10 points, ② get 5 points
	1.2 Scope of a	pplication: ①Counties and districts; ②Central urban area	① get 10 points, ② get 5 points
	1.3 Management	t department: ① City (district) level Tobacco Control Office,	
	Patriotic Health	Campaign Committee, Health Promotion Committee, etc.; $\textcircled{2}$	(1) get 10 points, (2) get 5 points
		Health and Family Planning Department	
	1.4 Superv	ision principles for social co-governance: ①Yes; ②No	(1) get 10 points, (2) get 0 points
	-	ke-free places: ①Comprehensively smoke-free: stipulate that	
		es, indoor public places, public transportation are prohibited	
		nd where appropriate, prohibit smoking in other (outdoor or	(1) get 10 points, (2) get 5 points
		blic places; 2 Partially smoke-free: laws and regulations that	
		meet the comprehensive smoke-free requirements	
1. Simple, clear		nts for no-smoking places: Develop a smoking management	
and executable		lard no-smoking signs; do not provide smoking tools and no	Accumulative score, 1 point / article, the full score is 10 points, if
legislation		ements; carry out smoking ban inspections and keep records;	there are 8 all, then the full score
	discourage mega	al smokers; set up tobacco control inspections or supervisors; tobacco control education, etc.	
		1.7 Accountability mechanism: ①Yes ②No	① get 10 points, ② get 0 points
		ment Procedures: Comprehensively consider the punishment	
		dividuals and places. Experts evaluate the complexity of the	Divided into three levels: ① good: 10 points; ② medium: 5
		cedures and whether they can improve the efficiency of law	points; ③ poor: 2 points
		enforcement.	
		orehensively consider the amounts of fines of individuals and	Divided into three levels: ① good: 10 points; ② medium: 5
		evaluate the amounts of fines according to whether they are	points; ③ poor: 2 points
		whether they can improve the efficiency of law enforcement.	points, S poor. 2 points
		auses: Provide incentives to promote smoking bans in places;	2 points for each item, 10 points for 5 items; 10 points for more
		blic and social organizations to participate in tobacco control;	than 5 items
	encourage me	dical institutions to provide smoking cessation assistance;	

	1.11 Supporting mechanism; j	king in public activities; encourage industry to develop and implement tobacco control guidelines, etc. mechanism for law enforcement: monitoring and evaluation publicity and education mechanism; behavior intervention nding guarantee mechanism; positioning of tobacco control	2 points for each item, 10 points for 5 items; 10 points for more than 5 items
		work, etc. 2.1.1 Construction of law enforcement coordination network	5 points for fixed coordination agencies, 0 points for non- coordination agencies; 5 points for established coordination mechanisms, 0 points for non-establishment agencies; full score of 10 points
		2.1.2 Work of coordination agencies: regular meeting system, organization of joint law enforcement actions, regular collection and notification of law enforcement data, organization of law enforcement supervision and inspection, coordination of problems in tobacco control work, organization of tobacco control monitoring assessment and release of assessment reports, etc.	Cumulative score, 2 points / item, full score is 10 points
2. Careful planning and sufficient resources for law enforcement	2.1 Preparation for law enforcement	2.1.3 Staffing situation: including coordination agency staff and law enforcement personnel;	Coordinating agency staff (4 points): Coordinating agency has full-time staff who get 2-4 points according to the number of personnel, only part-time staff get 1-2 points according to the number of personnel, and non-smoking workers get 0 points; Law enforcement officers (6 points):6 points for clarifying the duties of law enforcement personnel and having assessment objectives, Only the duty of law enforcement personnel gets 4 points, No clear law enforcement officers get 0 points
		2.1.4 Investment in law enforcement funds per 10,000 people = annual investment / number of permanent residents in the year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		2.1.5 Law enforcement documents: including plans or schemes, guidelines, rules; expert review scores	Divided into three levels: ① good: 10 points; ② medium: 5 points; ③ poor: 2 points
		2.1.6 Law enforcement evaluation system: whether there is a tobacco control law enforcement evaluation system;	Divided into three levels: ① good: 10 points; ② medium: 5 points; ③ poor: 2 points

		expert review score	
		2.1.7 Law enforcement tools: including invoices, special accounts for tobacco control and confiscation, and law enforcement equipment	10 points for 3 types and above, 5 points for 2 types, 2 points for 1 type, 0 points for none
		2.2.1 Guideline development: Has a guideline for creating a smoke-free environment in non-smoking locations been developed? ①Yes ②No	① get 10 points, ② get 0 points
(	2.2 Construction of law	2.2.2 Smoke-free sign management system: whether there are regulations and management systems related to smoke-free sign? ①Yes ②No	① get 10 points, ② get 0 points
s	enforcement environment for non- smoking places	2.2.3 Rate of posting or setting of non-smoking signs: the result of investigation	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		2.2.4 Eligibility rate for non-smoking signs: The result of the investigation	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
2	2.3Enforcement	2.3.1 Training of law enforcement personnel	10 points if organized, 0 points if not organized
	training	2.3.2 Training for site managers	10 points if organized, 0 points if not organized
	2.4 Supervisio (government) o (governmen	on of tobacco control law enforcement: including the NPC organization to carry out law enforcement inspections, NPC t) leaders to participate in activities related to smokeless the NPC (government) requires law enforcement agencies to report on tobacco control law enforcement	Law enforcement inspection activities 2 points / 1 time, 4 points for 2 times or more one year Requires reporting on tobacco control law enforcement work 2 points / 1 time, 4 points for 2 times or more one year Leaders participate in activities related to smoke-free regulations 1 point / time, greater than or equal to 2 times per year, 2 points are recorded
	2.5 Law enforcement actions and	2.5.1 Number of inspection sites per 10,000 people = number of non-smoking inspection sites per year / number of permanent residents in that year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
	fines	2.5.2 Number of warnings and ordered rectifications per 10,000 people = number of annual warnings and ordered	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points,

		rectifications / number of permanent residents of the year * 10000	(P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		2.5.3 Number of place punishment cases per 10,000 people = number of place punishment cases per year / number of permanent residents of the year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		2.5.4 Number of personal punishment cases per 10,000 people = number of personal punishment cases per year / number of permanent residents in that year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		2.5.5 Amounts of fines per 10,000 people = total amount of annual fines (places and individuals) / number of permanent residents in the year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
	3.1 Volunteer participation	3.1.1 Number of volunteers per 10,000 people = number of tobacco control volunteers / number of permanent residents of the year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		3.1.2 Volunteer work guide: ①Yes ②No	① get 10 points, ② get 0 points
		3.1.3 Volunteer training	10 points have been organized, 0 points have not been organized
		3.1.4 Recruitment and management system: ①Yes ②No	① get 10 points, ② get 0 points
3. Participation of civil society		3.1.5 Volunteer work guarantee: Does the government provide funding, policy and other guarantees for the daily work of volunteers? ①Yes ②No	① get 10 points, ② get 0 points
of civil society		3.1.6 Volunteer activities: whether to regularly organize tobacco control volunteers to carry out centralized tobacco control related activities? ① Yes ②No	① get 10 points, ② get 0 points
	3.2 Complaint report	3.2.1 Number of complaints reported per 10,000 people = annual number of complaints (all reporting channels) / number of permanent residents in the year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.
		3.2.2 Reporting and replying system: ①Yes ②No	① get 10 points, ② get 0 points
	3.3 Participati	on of social organizations: whether there are social groups	① get 10 points, ② get 0 points

		involved in tobacco control ①Yes ②No			
		3.4 Quit smoking service: ①Yes ②No	① get 10 points, ② get 0 points		
		4.1 Communication plan: ①Yes ②No	① get 10 points, ② get 0 points		
	communication	ication funds per 10,000 people = annual tobacco control funds / number of permanent residents in that year * 10000	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.		
	conferences an through We spokespersor	ion methods: mainstream mass media communication; press nd other promotional activities; self-media communication ibo and WeChat; invite celebrity experts as promotional s; place tobacco control advertisements; post smoke-free omotional posters; conduct case publicity, etc.	Use one method of promotion to get 2 points, accumulate points, the full score is 10 points		
4. Communication	4.4 Communio	cation activities: refer to the large-scale publicity activities carried out every year	2 points / time, cumulative score, full score 10 points		
	4.5 Communication effect	4.5.1 Public awareness of core knowledge of smoke-free regulations: survey results	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0 or no data get 0 point.		
		4.5.2 Public awareness of tobacco hazard knowledge: survey results	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min (≠ 0)] get 1 point, 0 or no data get 0 point.		
	5.1Monito	oring and evaluation plans and programs: ①Yes ②No	① get 10 points, ② get 0 points		
	5 2 E 1 4i	5.2.1 Illegal smoking rate	10 points for evaluation, 0 points for nothing		
5. Monitor and	5.2 Evaluation of the effect of	5.2.2 Tobacco smoke concentration in non-smoking places	10 points for evaluation, 0 points for nothing		
evaluate the	implementing	5.2.3 Health effect assessment	10 points for evaluation, 0 points for nothing		
implementation	laws	5.2.4 Support rate of smoke-free regulations	10 points for evaluation, 0 points for nothing		
and impact of		5.2.5 Smoking rate of adults over 15 years old	10 points for evaluation, 0 points for nothing		
laws		nation on health effect assessment of laws and regulations: n morbidity and mortality of tobacco-related diseases: ①Yes ②No	① get 10 points, ② get 0 points		

5.4 Release of monitoring and evaluation results	10 points if released to the public, 0 points if not released to the public
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	2016					2017					2018							
			Fine (	place)	Fine (in	dividual)	-		Fine (	place)	Fine (in	dividual)	-	Number	Fine (	place)	Fine (in	dividual)
City	Number of inspection sites	Number of places that are warned and ordered to rectify	Number of cases	Amount (yuan)	Number of cases	Amount (yuan)	Number of inspection sites	Number of places that are warned and ordered to rectify	Number of cases	Amount (yuan)	Number of cases	Amount (yuan)	Number of inspection sites	of places that are warned and ordered to rectify	Number of cases	Amount (yuan)	Number of cases	Amount (yuan)
Beijing	-	-	429	-	1917	-	161621	5359	653	1766700	3292	171600	-	-	673	2064200	3198	167400
Shanghai	150449	2083	174	331571	157	11000	240214	1549	1133	2588800	415	31550	235483	1671	1024	2299500	886	69350
Shenzhen	63699	5745	4	110000	20345	1017550	72748	6398	7	210000	25798	1290500	69766	5538	4	120000	13530	679200
Harbin	5319	451	100	19700	54	10800	4621	339	45	94000	52	10400	6299	385	1	2000	56	11200
Hangzhou	14145	1279	344	120300	6	300	19443	1699	547	122300	4	200	15402	2138	674	135150	5	250
Lanzhou	19606	3170	14	28000	0	0	46568	4042	26	56000	370	69800	26124	3294	110	29600	482	91700
Qingdao	9953	569	1	2000	0	0	11629	614	0	0	0	0	12184	393	3	-	1	200
Xining	19772	1094	1950	139100	95	9500	31962	1612	160	156800	135	8550	39285	241	175	117450	176	28750

Appendix 2: 2016-2018 City Tobacco Control Law Enforcement Actions and Results

-: No data collected

## Appendix 3

## Appendix 3-1 The adjusted evaluation index system for the implementation of smoke-free laws and regulations (English version)

First-level indicators	Second-level indicators	Third-level indicators	Evaluation standard
	1.1 Nature of regu	lations: (1) local regulations; (2) government regulations	① get 10 points, ② get 5 points
	•	tt department: ① City (district) level Tobacco Control Office, Campaign Committee, Health Promotion Committee, etc.; ② Health and Family Planning Department	① get 10 points, ② get 5 points
	1.3 Superv	vision principles for social co-governance: 1)Yes; 2)No	① get 10 points, ② get 0 points
	indoor workpla from smoking, quasi outdoor) pul	oke-free places: ①Comprehensively smoke-free: stipulate that ces, indoor public places, public transportation are prohibited and where appropriate, prohibit smoking in other (outdoor or olic places; ②Partially smoke-free: laws and regulations that do meet the comprehensive smoke-free requirements	① get 10 points, ② get 5 points
1. Simple, clear and executable legislation	system; set stand tobacco advertis	nts for no-smoking places: Develop a smoking management ard no-smoking signs; do not provide smoking tools and no sements; discourage illegal smokers; set up tobacco control pervisors; carry out smoking ban inspections and keep records; tobacco control education, etc.	Bold entry 2 points / article, other 1 point / article, full score 10 points
		1.6 Accountability mechanism: ①Yes ②No	① get 10 points, ② get 0 points
	procedures of in	ement Procedures: Comprehensively consider the punishment ndividuals and places. Experts evaluate the complexity of the ocedures and whether they can improve the efficiency of law enforcement.	Divided into three levels: ① good: 10 points; ② medium: 5 points; ③ poor: 2 points
	places. Experts	prehensively consider the amounts of fines of individuals and evaluate the amounts of fines according to whether they are whether they can improve the efficiency of law enforcement.	Divided into three levels: ① good: 10 points; ② medium: 5 points; ③ poor: 2 points
	1.9 Supporting	mechanism for law enforcement: monitoring and evaluation	2 points for each item, 10 points for 5 items; 10 points for

		publicity and education mechanism; behavior intervention ing guarantee mechanism; positioning of tobacco control work, etc.	more than 5 items
		2.1.1 Construction of law enforcement coordination network	5 points for fixed coordination agencies, 0 points for non- coordination agencies; 5 points for established coordination mechanisms, 0 points for non-establishment agencies; full score of 10 points
	2.1 Preparation for law	2.1.2 Work of coordination agencies: regular meeting system, organization of joint law enforcement actions, regular collection and notification of law enforcement data, organization of law enforcement supervision and inspection, coordination of problems in tobacco control work, organization of tobacco control monitoring assessment and release of assessment reports, etc.	Cumulative score, 2 points / item, full score is 10 points
2. Careful planning and	enforcement	2.1.3 Staffing situation: Coordination agency staff	Coordinating agency full-time staff 3 points / person, part- time staff 1 point / person, non-smoking workers get 0 points; full score is 10 points
sufficient resources for law enforcement		2.1.4 Law enforcement documents: including plans or schemes, guidelines, rules; expert review scores	Divided into three levels: ① good: 10 points; ② medium: 5 points; ③ poor: 2 points
			2.1.5 Law enforcement evaluation system: whether there is a tobacco control law enforcement evaluation system; expert review score
	2.2 Construction	2.2.1 Guideline development: Has a guideline for creating a smoke-free environment in non-smoking locations been developed? ①Yes ②No	① get 10 points, ② get 0 points
	of law enforcement environment for pop-smoking	2.2.2 Smoke-free sign management system: whether there are regulations and management systems related to smoke-free sign? ①Yes ②No	① get 10 points, ② get 0 points
	non-smoking places	2.2.3 Rate of posting or setting of non-smoking signs: the result of investigation	To calculate the percentile, [max, P97] gets 10 points, (P97, P84] gets 8 points, (P84, P50) gets 6 points, (P50, P16) gets 4 points, (P16, P3) gets 2 points, (P3, min $(\neq 0)$ ] get 1 point, 0

			or no data get 0 point.
	2.3 Enforcement	2.3.1 Training of law enforcement personnel	10 points if organized, 0 points if not organized
	training	2.3.2 Training for site managers	10 points if organized, 0 points if not organized
	(government) (government) lead	on of tobacco control law enforcement: including the NPC organization to carry out law enforcement inspections, NPC ders to participate in activities related to smokeless regulations, government) requires law enforcement agencies to report on tobacco control law enforcement	Law enforcement inspection activities 2 points / 1 time, 4 points for 2 times or more one year Requires reporting on tobacco control law enforcement work 2 points / 1 time, 4 points for 2 times or more one year Leaders participate in activities related to smoke-free regulations 1 point / time, greater than or equal to 2 times per year, 2 points are recorded
	2.5 Penalty result	<ul> <li>2.5.1 Number of place punishment cases per 10,000 people = number of place punishment cases per year / number of permanent residents of the year * 10000</li> <li>2.5.2 Number of personal punishment cases per 10,000 people = number of personal punishment cases per year / number of permanent residents in that year * 10000</li> </ul>	According to the actual situation of the indicator, set the original value segment evaluation standard
		3.1.1 Number of volunteers per 10,000 people = number of tobacco control volunteers / number of permanent residents of the year * 10000	According to the actual situation of the indicator, set the original value segment evaluation standard
		3.1.2 Volunteer work guide: ①Yes ②No	① get 10 points, ② get 0 points
	21371	3.1.3 Volunteer training	10 points have been organized; 0 points have not been organized
	3.1 Volunteer participation	3.1.4 Recruitment and management system: ①Yes ②No	① get 10 points, ② get 0 points
3. Participation of civil society	participation	3.1.5 Volunteer work guarantee: Does the government provide funding, policy and other guarantees for the daily work of volunteers? ①Yes ②No	(1) get 10 points, (2) get 0 points
		3.1.6 Volunteer activities: whether to regularly organize tobacco control volunteers to carry out centralized tobacco control related activities? ① Yes ②No	① get 10 points, ② get 0 points
	3.2 Complaint report	3.2.1 Number of complaints reported per 10,000 people = annual number of complaints (all reporting channels) / number of permanent residents in the year * 10000	According to the actual situation of the indicator, set the original value segment evaluation standard
	-	3.2.2 Reporting and replying system: 1) Yes 2) No	① get 10 points, ② get 0 points

	3.3 Participation of	of social organizations: whether there are social groups involved	① get 10 points, ② get 0 points		
		in tobacco control ①Yes ②No 3.4 Quit smoking service:①Yes ②No	① get 10 points, ② get 0 points		
		4.1 Communication plan: ①Yes ②No	1 get 10 points, 2 get 0 points		
		ion funds per 10,000 people = annual tobacco control nds / number of permanent residents in that year * 10000	According to the actual situation of the indicator, set the original value segment evaluation standard		
4. Communication	magazines, news QQ, etc.), others (	ion methods: traditional media (TV, radio, newspapers, agencies, news websites, etc.), new media (Weibo, WeChat, posting posters, large-screen promotion, etc.)	Use mainstream media to get 4-6 points, use new media to get 1-2 points, use other methods to get 1-2 points, the specific score is evaluated by experts. Full score is 10 points		
Communication	4.4 Communication	on activities: refer to the large-scale publicity activities carried	5 points for holding the "World No Tobacco Day Event" and 2 times / points for other events, the full score is 10 points		
	4.54.5.1 Awareness rate of smoke-free regulationsCommunication effect44.5.2 Awareness rate of smoking causes stroke		According to the actual situation of the indicator, set the original value segment evaluation standard		
	5.1Monit	toring and evaluation plans and programs: ①Yes ②No	1) get 10 points, 2) get 0 points		
		5.2.1 Illegal smoking rate	5 points for conducting surveys, and 5 points for conducting surveys in the last three years, with a full score of 10		
	5.2 Evaluation	5.2.2 Tobacco smoke concentration in non-smoking places	5 points for conducting surveys, and 5 points for conducting surveys in the last three years, with a full score of 10		
5. Monitor and	of the effect of implementing	5.2.3 Health effect assessment	5 points for conducting surveys, and 5 points for conducting surveys in the last three years, with a full score of 10		
evaluate the implementation	laws	5.2.4 Support rate of smoke-free regulations	5 points for conducting surveys, and 5 points for conducting surveys in the last three years, with a full score of 10		
and impact of laws		5.2.5 Smoking rate of adults over 15 years old	5 points for conducting surveys, and 5 points for conducting surveys in the last three years, with a full score of 10		
		ation on health effect assessment of laws and regulations: morbidity and mortality of tobacco-related diseases: ①Yes	① get 10 points, ② get 0 points		
	5	.4 Release of monitoring and evaluation results	10 points if released to the public, 0 points if not released to the public		

一级指标	二级指标	三级指标	评价标准	
		1.1法规性质:①地方性法规;②政府规章制度	①得10分,②得5分	
	1	.2管理部门:①控烟办、爱卫或健康促进等;②卫生计生部门	①得10分,②得5分	
		1.3社会共治方面的监管原则:①有;②没有	①得10分,②得0分	
	1.4无烟场所范	团:①全面无烟:规定室内工作场所、室内公共场所、公共交通工具禁止		
	吸烟,适当时劫	观定在其他(室外或准室外)公共场所禁止吸烟;②部分禁烟:未到达全面	①得10分,②得5分	
		无烟要求的法规		
	1.5对禁止吸烟	场所的要求: <b>制定吸烟管理制度;设置标准禁止吸烟标识;不得提供烟具</b>		
1.简单、明确、可	及不得设置烟草	<b>草广告;劝阻违法吸烟者;设置控烟检查或督导员</b> ;开展禁烟检查并留存记	│ 加粗条目2分/条,其他1分/条,满分1( │	
执行的立法		录;进行控烟宣传教育等	分	
		1.6问责机制	有得10分,无得0分	
	1.7执法程序:	综合考虑个人和场所的处罚程序,专家根据处罚程序的繁复程度、是某可	分为三个等级:①	
		提高执法效率进行评价。	好:10分;②中:5分;③差:2分	
	1.8处罚金额:	综合考虑个人和场所的罚款金额,专家根据罚款金额是否合适、是某可提	分为三个等级:①	
		高执法效率进行评价。	好:10分;②中:5分;③差:2分	
	1.9执法配套机	l制:监测评估机制;宣传教育机制;行为干预机制;经费保障机制;对控	每一条得2分,满5条得10分;如果超	
		烟工作的定位等。	过5条,也得10分	
0.动注净实体和地			固定的协调机构得5分,无协调机构得0	
2.对法律实施和执	2.1执法准备	2.1.1执法协调网络建设	分;建立了协调机制得5分,未建立得0	
法的精心规划和充 足资源	情况		分;满分10分	
<b>正</b> 贝/环		2.1.2协调机构工作:例会制度、组织联合执法行动、定期收集整理并通	累计得分,2分/项,满分为10分	

Appendix 3-1 The adjusted evaluation index system for the implementation of smoke-free laws and regulations (Chinese version)

	1	1	
		报执法数据、组织进行执法监督检查、协调控烟工作中的问题、组织控烟	
		监测评估并发布评估报告等	
			协调机构专职人员3分/名,兼职人员1
		2.1.3人员配备情况:协调机构工作人员	分/名,无控烟工作人员得0分;满分为
			10分
			分为三个等级:①好:10分;②中:5
			分;③差:2分
		2.1.5执法考核制度:是否有控烟执法考核制度;专家审阅评分	分为三个等级:①好:10分;②中:5
			分;③差:2分
		2.2.1指南制定:是否制定了禁烟场所创建无烟环境指南	有10分,没有得0分。
	2.2禁烟场所	2.2.2禁烟标识管理制度:是否有禁烟标识相关规范及管理制度	有10分,没有得0分。
	执法环境建设	2.2.3禁烟标识张贴率或设置率	根据指标实际情况设置原始值分段评价
			标准
	2.3执法培训	2.3.1执法人员培训	组织过得10分,未组织过得0分
	情况	2.3.2场所管理者培训	组织过得10分,未组织过得0分
			执法检查活动2分/1次,每年大于或等
	2.4监督控烟执法情况:包括人大(政府)组织开展执法检查、人大(政府)领导参与无 烟法规相关活动、人大(政府)要求执法机构汇报控烟执法工作情况		于2次均记4分
			要求汇报控烟执法工作2分/1次,每年
			大于或等于2次均记4分
			领导参与无烟法规相关活动1分/次,每
			年大于或等于2次,均记2分
	2.5处罚结果	2.5.1每万人场所处罚案例数=年场所处罚案例数/当年常住人口数*10000	根据指标实际情况设置原始值分段评价

		2.5.2每万人个人处罚案例数=年个人处罚案例数/当年常住人口数*10000	标准
3.公民社会的参与	3.1志愿者参 与	3.1.1每万人志愿者数量=控烟志愿者数量/当年常住人口数*10000	根据指标实际情况设置原始值分段评价 标准
		3.1.2志愿者工作指南	有得10分,无得0分。
		3.1.3志愿者培训	组织过得10分,未组织过0分
		3.1.4招募和管理制度	有得10分,无得0分
		3.1.5志愿者工作保障:政府是否为志愿者的日常工作提供经费、政策等 保障	有得10分,无得0分
		3.1.6志愿者活动:是否定期组织控烟志愿者进行集中控烟相关活动	是得10分,否得0分
	3.2投诉举报	3.2.1每万人举报投诉量=年投诉量(所有举报途径)/当年常住人口数*10	根据指标实际情况设置原始值分段评价
		000	标准
		3.2.2举报回复制度	有得10分,无得0分
	3.3社会组织参与:有参与控烟工作的社会团体		有得10分,无得0分
		3.4戒烟服务	有得10分,无得0分
	4.1宣传计划		有得10分,无得0分
	4.2每万人宣传经费=年控烟宣传经费/当年常住人口数*10000		根据指标实际情况设置原始值分段评价 标准
《宣生和生物	4.3宣传方式:传统媒体(电视、广播、报纸、杂志、通讯社、新闻网站等)、新媒体(微 博、微信、QQ等)、其他(张贴海报、宣传大屏等)		采用主流大众媒体宣传得4-
4.宣传和传播			6分,采用新媒体得1-
			2分,采用其他方式得1-
			2分,具体得分由专家评定。满分为10
			分

	4.4宣传活动:指每年开展的大型宣传活动		举行"世界无烟日活动"得5分,其他活 动2次/分,满分为10分
	4.5宣传效果	4.5.1无烟法规知晓率	根据指标实际情况设置原始值分段评价
		4.5.2吸烟导致中风知晓率	标准
5.监测、评估法律 的实施和影响		5.1监测评估计划与方案	有监测评估计划或方案得10分,无得0 分
	5.2法规实施 效果评估	5.2.1违法吸烟率	开展过调查得5分,近三年开展过再得5 分,满分为10分
		5.2.2禁烟场所烟草烟雾浓度	开展过调查得5分,近三年开展过再得5 分,满分为10分
		5.2.3健康效果评估	开展过调查得5分,近三年开展过再得5 分,满分为10分
		5.2.4无烟法规支持率	开展过调查得5分,近三年开展过再得5 分,满分为10分
		5.2.5 15岁以上成人吸烟率	开展过调查得5分,近三年开展过再得5 分,满分为10分
	5.3法规健康效果评估基础资料:烟草相关疾病发病与死亡等数据		有得10分,无得0分
	5.4监测评估结果发布		向社会发布得10分,否得0分

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